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PTO/SB/29 (12/97)

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | | | |
|--|-------------------|-------------|----|
| Attorney Docket No. | 10480-012-999 | Total Pages | 10 |
| First Named Inventor or Application Identifier | | | |
| Luosheng Peng | | | |
| Express Mail Label No. | EL 451 596 628 US | | |

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|---|---|
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 |
|---|---|

| | |
|---|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>Submit an original, and a duplicate for fee processing)</i> | 6. <input type="checkbox"/> Microfiche Computer Program (<i>Appendix</i>) |
| 2. <input checked="" type="checkbox"/> Specification [Total Pages <u>23</u>] <i>(preferred arrangement set forth below)</i> -Descriptive title of the Invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R&D -Reference to Microfiche Appendix -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (<i>if filed</i>) -Detailed Description of the Invention (including drawings, <i>if filed</i>) -Claim(s) -Abstract of the Disclosure | 7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>15</u>] | |
| 4. <input checked="" type="checkbox"/> Oath or Declaration [Total Sheets <u>2</u>] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> [Note Box 5 below] i. <input type="checkbox"/> <u>DELETION OF INVENTORS(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b). | |
| 5. <input type="checkbox"/> Incorporation By Reference (<i>useable if Box 4b is checked</i>) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | |

| | |
|--|--|
| ACCOMPANYING APPLICATION PARTS | |
| 8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) | |
| 9. <input checked="" type="checkbox"/> Power of Attorney by Assignee | |
| 10. <input type="checkbox"/> English Translation Document (<i>if applicable</i>) | |
| 11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 | <input type="checkbox"/> Copies of IDS Citations |
| 12. <input type="checkbox"/> Preliminary Amendment | |
| 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> | |
| 14. <input type="checkbox"/> Small Entity Statement filed in prior application, Statement(s) Status still proper and desired | |
| 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> | |
| 16. <input type="checkbox"/> Other: | |

| |
|--|
| 17. If a CONTINUING APPLICATION , check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: filed . |
|--|

18. CORRESPONDENCE ADDRESS

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PENNIE & EDMONDS LLP
 COUNSELLORS AT LAW
 1155 Avenue of the Americas
 New York, N.Y. 10036-2711
 (212) 790-9090

ATTORNEY DOCKET NO. 10480-012-999Date: April 16, 2001

Assistant Commissioner for Patents
 Box PATENT APPLICATION
 Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Luosheng Peng

Executed on: April 2, 2001

Title of Invention: APPARATUS AND METHODS FOR MANAGING CACHES ON A MOBILE DEVICE

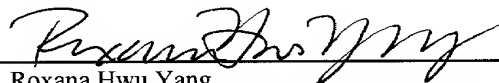
PATENT APPLICATION FEE VALUE

| TYPE | NO. FILED | LESS | EXTRA | EXTRA RATE | FEE |
|---|-----------|------|-------|--------------|-------------|
| Total Claims | 24 | -20 | 4 | \$18.00 each | \$ 72.00 |
| Independent | 2 | -3 | 0 | \$80.00 each | \$ 0.00 |
| Minimum Fee | | | | | \$ 710.00 |
| Multiple Dependency Fee If Applicable (\$270.00) | | | | | \$ 0.00 |
| Total | | | | | \$ 782.00 |
| 50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern (37 CFR 1.27) | | | | | - \$ 391.00 |
| Total Filing Fee | | | | | \$ 391.00 |

- ☐ **DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
- ☒ Applicant(s) hereby claims Small Entity Status under 37 CFR 1.27.

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

 46,788
 Roxana Hwu Yang
 For: Thomas E. Friebe (Reg. No. 29,258) (Reg. No.)
 PENNIE & EDMONDS LLP

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.